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NOTICE OF ALLOWANCE AND FEE(S) DUE

22801 7590 05/24/2004

LEE & HAYES PLLC  
421 W RIVERSIDE AVENUE SUITE 500  
SPOKANE, WA 99201

EXAMINER

TO, BAOQUOC N

ART UNIT

PAPER NUMBER

2172

DATE MAILED: 05/24/2004

13

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/702,288	10/30/2000	Wen-Yin Liu	MS1-605US	1430

TITLE OF INVENTION: SEMI-AUTOMATIC ANNOTATION OF MULTIMEDIA OBJECTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	08/24/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail**

**Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Legibly mark-up with any corrections or use Block 1)

22801 7590 05/24/2004

**LEE & HAYES PLLC  
421 W RIVERSIDE AVENUE SUITE 500  
SPOKANE, WA 99201**

**Note:** A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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nonprovisional	NO	\$1330	\$0	\$1330	08/24/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
TO, BAOQUOC N	2172	707-003000

**1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).**

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

**2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.**

1	_____
2	_____
3	_____

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

**4a. The following fee(s) are enclosed:**

- ☐ Issue Fee
- ☐ Publication Fee
- ☐ Advance Order - # of Copies \_\_\_\_\_

**4b. Payment of Fee(s):**

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)	(Date)
<p><b>NOTE:</b> The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.</p> <p>This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. <b>DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.</b></p> <p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.</p>	

**TRANSMIT THIS FORM WITH FEE(S)**



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LEE & HAYES PLLC 421 W RIVERSIDE AVENUE SUITE 500 SPOKANE, WA 99201				
EXAMINER TO, BAOQUOC N				
ART UNIT			PAPER NUMBER	
2172				
DATE MAILED: 05/24/2004				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 234 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 234 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

**Notice of Allowability**

Application No.

09/702,288

Examiner

Baoquoc N To

Applicant(s)

LIU ET AL.

Art Unit

2172

13/D

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 05/13/04.
2. ☒ The allowed claim(s) is/are 1,5-14,16-20,22-24,32-38 and 42.
3. ☒ The drawings filed on 10/30/00 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

  
JEAN M. CORRIELUS  
PRIMARY EXAMINER

10

### DETAILED ACTION

1. Claims 1-42 are in this application and claims 2-5, 15, 21, 25-31 and 39-41 are canceled by the examiner amendment. 1, 5-14, 16-20, 22-24, 32-38 and 42 are pending this application.

### EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.


Authorization for this examiner's amendment was given in a telephone interview with May on May 13, 2004.

Please cancel these claims 2-5, 15, 21, 25-31 and 39-41.

Please cancel claim [1], replace with "1. A method comprising:

identifying, in response to a search query, first multimedia objects having an associated keyword that matches a keyword in the search query, and identifying second multimedia objects that have content features similar to those of the first multimedia objects, wherein the content features do not match the keyword in the search query;  
presenting the first and second multimedia objects to a user;  
monitoring feedback from the user as to which of the first and second multimedia objects are relevant to the search query;

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 maintaining associations between the keywords and the multimedia objects, the associations being weighted to indicate how relevant the keywords are to the multimedia objects;

adjusting the weights of the associations based on the user's feedback, wherein the adjusting comprises increasing a weight of an association between the keyword and a particular multimedia object that is deemed relevant by the user or/and decreasing a weight of an association between the keyword and a particular multimedia object that is deemed irrelevant by the user; and

annotating one or more of the second multimedia objects, which are deemed relevant by the user, with the keyword."

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Please delete claim [10], replace with [10. A method comprising:

 identifying, in response to a search query, first multimedia objects having an associated keyword that matches a keyword in the search query, and identifying second multimedia objects that have content features similar to those of the first multimedia objects, wherein the content features do not match the keyword in the search query;

presenting the first and second multimedia objects to a user;  
monitoring feedback from the user as to which of the first and second multimedia objects are relevant to the search query;

maintaining associations between the keywords and the multimedia objects, the associations being weighted to indicate how relevant the keywords are to the multimedia objects;


Art Unit: 2172

 adjusting the weights of the associations based on the user's feedback, wherein the adjusting comprises increasing a weight of an association between the keyword and a particular multimedia object that is deemed relevant by the user or/and decreasing a weight of an association between the keyword and a particular multimedia object that is deemed irrelevant by the user; and

annotating one or more of the second multimedia objects, which are deemed relevant by the user, with the keyword."

---

Please delete claim [18], replace with "18. A method comprising:

 identifying, in response to a search query, first multimedia objects having an associated keyword that matches a keyword in the search query, and identifying second multimedia objects that have content features similar to those of the first multimedia objects, wherein the content features do not match the keyword in the search query;


presenting the first and second multimedia objects to a user;

monitoring feedback from the user as to which of the multimedia objects are relevant;

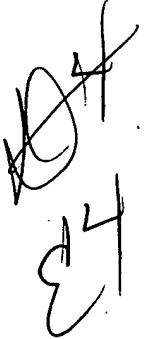
annotating one or more of the multimedia objects based on the user's feedback, with a keyword;

in an event that a particular multimedia object is deemed relevant by the user and not yet annotated with the keyword, adding the keyword to the particular multimedia object; and

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 in an event that the particular multimedia object is deemed relevant by the user and is already annotated with the keyword, strengthening an association between the keyword and the particular multimedia object."

Please delete claim [32], replace with "32. A system comprising:

 an information retrieval unit to retrieve multimedia objects from a database based on a search query first multimedia objects having an associated keyword that matches a keyword in the search query, and identifying second multimedia objects that have content features similar to those of the first multimedia objects wherein the content features do not match the keyword in the search query;

a relevance feedback unit to capture a user's feedback as to whether the multimedia objects are relevant to the search query; and

an annotation unit to annotate, with the keyword, the second multimedia objects based on the user's feedback,

wherein the search query comprises a keyword-based search query having at least one keyword and in an event that a particular multimedia object is deemed relevant by the user and is already annotated with the keyword, the annotation unit strengthens an association between the keyword and the particular multimedia object, and/or in an event that a particular multimedia object is deemed irrelevant by the user and is already annotated with the keyword, removing the keyword from the particular multimedia object, and/or in an event that a particular multimedia object is deemed irrelevant by the user and is already annotated with the keyword, weakening an association between the keyword and the particular multimedia object."



***Allowable Subject Matter***

3. Claims 1, 5-14, 16-20, 22-24, 32-38 and 42 are allowed over prior art made of record.

The following is an examiner's statement of reasons for allowance: None of the non prior art alone or in combination neither teaches nor suggest "identifying, in response to a search query, first multimedia objects having an associated keyword that matches a keyword in the search query, and identifying second multimedia objects that have content features similar to those of the first multimedia objects, wherein the content features do not match the keyword in the search query;

presenting the first and second multimedia objects to a user;

monitoring feedback from the user as to which of the first and second multimedia objects are relevant to the search query;

maintaining associations between the keywords and the multimedia objects, the associations being weighted to indicate how relevant the keywords are to the multimedia objects;

adjusting the weights of the associations based on the user's feedback, wherein the adjusting comprises increasing a weight of an association between the keyword and a particular multimedia object that is deemed relevant by the user or/and decreasing a weight of an association between the keyword and a particular multimedia object that is deemed irrelevant by the user; and

annotating one or more of the second multimedia objects, which are deemed relevant by the user, with the keyword."

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Aggarwal et al. (US. Patent No. 6,728,706 B2)      Patent date: 06/03/2003

Fox et al. (US. Patent No. 6,574,632 B2)      Patent date: 03/03/2003

Shevade et al., "an experiential annotation system", Year 2003, ISBA:1-508113, page 91-98.

Lai et al., "PBIR-MM: multimodal image retrieval and annotation", Year 2002, ISBN: 1-58113-620-X, page 421-422.

### ***Contact information***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Baoquoc N. To whose telephone number is (703) 305-1949 or via e-mail BaoquocN.To@uspto.gov. The examiner can normally be reached on Monday-Friday: 8:00 AM – 4:30 PM, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached at (703) 305-9790.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231.

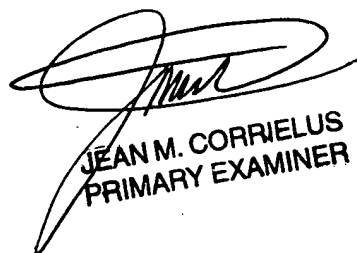
The fax numbers for the organization where this application or proceeding is assigned are as follow:

(703) 872-9306 [Official Communication]

Hand-delivered responses should be brought to:

Crystal Park II  
2121 Crystal Drive  
Arlington, VA 22202  
Fourth Floor (Receptionist).

Baoquoc N. To  
May 13, 2004



JEAN M. CORRIELUS  
PRIMARY EXAMINER